

UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/411,792 10/01/99

99-TK-238

0262/1101

LISA K JORGENSEN
STMICROELECTRONICS INC
1310 ELECTRONICS DRIVE
CARROLLTON TX 75006-5039

NOT ASSIGNED

2762

DATE MAILED:

11/01/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 168

☒ 1. The statutory basic filing fee is:

- ☒ missing
☐ insufficient

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ 120 for 70 total claims over 20.

\$ 8 for 1 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

03/15/2000 INHIFERA 00000084 09411792

01 FC:101

02 FC:102

03 FC:103

04 FC:105

760.00 OP

78.00 OP

720.00 OP

130.00 OP

FORM PTO-1533 (REV. 9/98)

U.S. GPO 1999 450-5875

PART 2 - COPY TO BE RETURNED WITH RESPONSE



ATTORNEY'S DOCKET NO.: 99-TK238

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David A. EDWARDS, Margaret GEARTY, Glenn FARRALL, Atsushi
HASEGAWA, and Anthony W. RICH
Serial No.: 09/411,792
Filed: October 1, 1999
For: INTERFACE FOR TRANSFERRING DEBUG INFORMATION

Examiner: Unassigned
Art Unit: 2762

Assignment Branch
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir/Madam:

Transmitted herewith for filing is/are the following document(s):

- ☒ Assignment and Coversheet
- ☒ Return Post Card

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (617)720-3500, Boston, Massachusetts.

A check in the amount of \$40.00 to cover the recordation fee. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this document is being placed in the United States mail with first-class postage attached, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on March 8, 2000.

Attorney Docket No.: 99-TK238

Respectfully submitted,

James H. Morris
Reg. No.: 34,681
WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210
Tel. (617) 720-3500



7/3

ATTORNEY'S DOCKET NO.: 99-TK-238

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David A. EDWARDS, Margaret GEARTY, Glenn FARRALL, Atsushi
HASEGAWA, and Anthony W. RICH
Serial No.: 09/411,792
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Examiner: Unassigned
Art Unit: 2762

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir/Madam:

Transmitted herewith for filing is/are the following document(s):

- ☒ Notice to File Missing Parts
- ☒ Declaration and Power of Attorney
- ☒ Petition for Extension of Time
- ☒ Assignment and Coversheet
- ☒ Return Post Card

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (617)720-3500, Boston, Massachusetts.

A check in the amount of \$2558.00 is enclosed to cover the filing fee and a check for \$40.00 to cover the recordation fee. If either fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

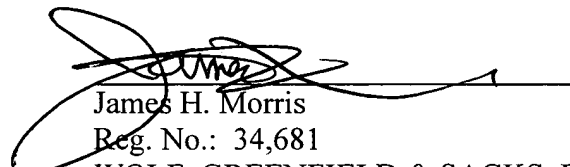
I hereby certify that this document is being placed in the United States mail with first-class postage attached, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on March 7, 2000.

Mail Des call

Attorney Docket No.: 99-TK-238
X04/01/00

(S1022/8278)

Respectfully submitted,


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